

Monthly Compliance Meeting

October 2015

Today's Agenda

- **Announcements**
- **National Letter of Intent**
- **Local Sports Clubs**
- **Speaking Engagements/Donations**
- **In The News...**

HAC Announcements

Week 8

Admissions Publications

- Viewbooks-International/Domestic – Seniors
- Travel Piece – Sophomores/Juniors
- Prospect Cards

Faculty Feedback

- Weeks 7 (Oct. 7) and 13 (Nov. 18)

Class Checks

- Sport programs conduct
- Do not disrupt faculty or students
- Do not enter classroom or look through door

Faculty/Tutors

- Build strong relationships with faculty
- Do not discuss academic progress of individual students with faculty or tutors
- Herbst Academic Center staff will communicate with faculty and tutors

Jo's Announcements

- Great job keeping up with the Google Docs
- NLI Requests can start to be submitted – indicate multi-year and amounts
- Follow BuffsCompliance on Instagram!

National Letter of Intent

NLI GUIDELINES

- Any NLI sent to a PSA must be accompanied by a multi-year financial aid agreement.
- The parents of the PSA must sign the NLI, if the PSA is under the age of 21.
- Walk-ons cannot sign a NLI, fake NLI or anything else created by CU for them to sign. Walk-ons can 'sign' their confirmation of their intent to enroll or anything else that they've created on their own. CU can only announce them if they've submitted their enrollment deposit.

NLI GUIDELINES

- **PSA must be registered with the NCAA Eligibility Center in order to receive a NLI.**
- **PSA must receive sport supervisor approval to be issued a NLI (have core eval completed, CCHE index, admissions).**
- **Rick George signs each NLI and the financial aid office signs each financial aid agreement PRIOR to the PSA signing.**

NLI GUIDELINES

- The initial signing date for the November period is November 11-18, 2015.
- A PSA has seven days to sign their NLI.
 - If a NLI is issued on the 11th, they must sign by the 17th.
 - If a NLI is issued on the 17th, they must sign by the 18th.
- CU then has 14 days from the date of signing to submit the originals to the conference office.

NLI GUIDELINES

- **Once a PSA signs a NLI, the recruiting ban is in effect. CU cannot contact a PSA who has signed with another institution.**
- **Once a PSA enrolls at another institution, the recruiting ban is lifted, but you must have permission to contact.**

NLI GUIDELINES

How to satisfy the provisions of the NLI:

- **Attend the signing institution for one year.**
- **Attend and graduate from a 2-year institution.**

NLI GUIDELINES

What happens if the PSA does not satisfy the provisions of the NLI:

- **Must serve one year in residence at the second NLI institution (can still practice and receive aid), AND**
- **Will lose one season of competition in ALL sports.**

NLI GUIDELINES

Can a PSA appeal the penalty?

- **Yes—PSA can request a NLI release from the institution. This is an online form that the PSA must complete.**
- **The institution can then lift the recruiting ban and give a ‘complete’ or ‘no release’. The institution has 30 days to respond to the request.**

NLI GUIDELINES

- If the institution does not grant the release, the student-athlete can appeal to the NLI committee. This must be done within 30 days.
- There must be extenuating circumstances to request the release. A coaching change is not an extenuating circumstance.
- The institution can still lift the recruiting ban if they are not granting the complete release.

NLI GUIDELINES

- **When a PSA is signing, CU cannot offer any type of written ‘release agreement’ such as promising a complete release if there is a coaching change.**
- **No additions to or deletions from the NLI, but you can put reasonable non-athletically related conditions on the financial aid agreement (i.e., must meet academic standards).**

NLI GUIDELINES

Example 1:

- **PSA signs NLI with CSU. PSA attends CSU for the fall, but decides to transfer to CU and wants to be immediately eligible.**
- **What has to happen for this to be possible?**

NLI GUIDELINES

Example 1:

- **CU should have permission to contact prior to talking to the PSA.**
- **PSA must request a release from their NLI and it must be granted.**
- **PSA must meet all other NCAA, Pac-12 and CU transfer regulations (one-time transfer, PTD, etc.)**

NLI GUIDELINES

Example 2:

- **PSA signs financial aid agreement with Nebraska November 2015. PSA then signs NLI and financial aid agreement with CU in April 2016.**
- **Which signing is valid?**

NLI GUIDELINES

Example 2:

- **The NLI and FAA with CU is valid.**
- **A financial aid agreement is not binding to the student, but is binding for the institution.**

NLI GUIDELINES

Example 3:

- **PSA signs NLI with Texas A&M, attends summer school and receives financial aid. PSA wants to transfer to CU for the fall semester.**
- **Is the NLI still valid?**

NLI GUIDELINES

Example 3:

- **The NLI is still valid and the PSA must request a release from Texas A&M.**
- **Again, CU must have permission to contact and/or the recruiting ban must be lifted.**
- **We can check the EC to see if they have that release.**

NLI GUIDELINES

Example 4:

- **PSA signs NLI with CU. PSA then decides to defer enrollment for 2 years. The NLI will remain valid for this time.**
- **What has to happen for this to be possible?**

NLI GUIDELINES

Example 4:

- **PSA must apply and be accepted to CU.**
- **PSA must choose to defer enrollment on own accord.**

Local Sports Clubs

Local Sports Clubs

Local Sports Clubs are an exception to the “tryout” legislation (Bylaw 13.11).

In sports other than basketball a coach may be involved in any capacity with a local sports club located in the institution’s home community, in their sport.

Any capacity = participant, administrator, instructional or coaching activities.

Any PSA-aged participants must be legal residents of the area. 50-mile radius of campus.

Local Sports Clubs

50-mile radius for PSA participants:

A PSA may participate if they live outside the 50-mile radius, provided that club is the closest opportunity for that individual. We approve this on a case by case basis and must have documentation on file.

A PSA who temporarily relocates to within the 50-mile radius (i.e., lives with grandparents during the summer) is not considered a legal resident of the area.

Local Sports Clubs

Volunteer coaches must follow the same rules as full-time coaches.

Noncoaching staff members (i.e., academics, director of operations) may be involved in local sports clubs within CU's community that includes PSAs outside the 50-mile radius limit. No recruiting on behalf of CU may occur.

Local Sports Clubs

CU Athletics and CU Athletics Booster Clubs may not sponsor local sports clubs that include PSAs.

It is permissible for an institutional department outside of athletics (i.e., Rec Sports) to sponsor a local sports club including PSAs, but no athletic staff member may be involved with that club.

Local Sports Clubs

Local sports clubs (not in basketball or football) may rent CU facilities (field, gym, weight room, office space) for the going rate.

A coach may own/operate a local sports club including PSAs within the 50-mile radius. This must be an established, ongoing club that is involved in competitive activities. It cannot be formed simply to instruct PSAs.

CU coaches may not use any CU funds for their involvement in a local sports club.

Local Sports Clubs

CU cannot pay any expenses for a coach involved in local sports club.

No sharing costs between the local sports club and recruiting budget.

A coach must either be recruiting or coaching their local sports club team, not both.

Local Sports Clubs

Student-Athletes may be employed by a local sports club.

All employment legislation applies. Going rate, no extra benefits, etc.

SAs cannot recruit on behalf of CU while serving as an employee of a local sports club.

Local Sports Clubs

Additional Volleyball Restrictions:

During a dead or quiet period, a coach involved with a local sports club may not coach their team in competition, but may coach their team in practice activities.

A coach's involvement outside the contact/evaluation period counts towards the limit of 80 evaluation days.

Speaking Engagements and Donation Requests

Speaking Engagements

-At PSA's school

- **Outside a Contact Period.** A coach may speak at a meeting or banquet (except during a dead period) at a prospective student-athlete's educational institution outside a contact period without using a contact or evaluation, provided:
 - (i) The meeting or banquet is initiated and conducted by the educational institution;
 - (ii) The coach does not make a recruiting presentation in conjunction with the appearance;
 - (iii) The coach does not have direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
 - (iv) The coach does not engage in any evaluation activities.

Speaking Engagements

-Not at PSA's school

PSAs can be in attendance (except during dead period) outside of a contact period and coaches may speak without using a contact or evaluation, provided:

- (a) The meeting or banquet is initiated and conducted by an entity other than the coach's institution;
- (b) The coach does not make a recruiting presentation in conjunction with the appearance;
- (c) The coach does not have any direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
- (d) The coach does not engage in any evaluation activities.

Donation Requests

Please submit all donation requests to Kevin for approval. Form is online at cubuffs.com/compliance corner.

CU and CU staff members cannot donate to a fundraiser that benefits prospect-aged individuals (regardless of athletic status), or provides scholarships to HS students.

MBB- we have to be very careful with nonprofit requests. Must ensure the nonprofit does not benefit any nonscholastic teams, prospects or IAWPs.

In The News

SMU

- Another major infraction at SMU—this is their 10th major.
- Men's Golf, Men's Basketball and Compliance were involved.
- MGO- Impermissible texts, calls, contacts with PSAs. Sold institutional gear to PSAs at a price much lower than retail value. Athletics Representative was helping recruit PSAs. Lied to investigators.
- MBB- Administrative Assistant completed coursework for PSA to become eligible. Head Coach claimed he did not know of the coursework being completed, but did not report it when he became aware. Lied to investigators and failed to promote an atmosphere of compliance.
- Director of Compliance – Provided false information in regards to rules education attendance.

SMU

- 1-year Postseason ban for men's basketball and men's golf.
- Loss of scholarships: MGO – 25% of their overall scholarships for 3 years. MBB—loss of 9 scholarships through 18-19. Already did not use two scholarships this year.
- No UOVs for 13 weeks in the summer of 2016 for both programs.
- Additional recruiting restrictions for MBB: limited to 2 OV's, no communication with PSA for 7 weeks in spring 2016, lost 20 recruiting period days.
- MBB-Vacate all wins from 13-14 due to ineligible SA.
- MGO-Disassociation with booster that helped recruit on behalf of the program.
- 3 years probation—must notify all PSAs of this
- Loss of 3 scholarships per year for next 3 years.

SMU

- Head Men's Basketball Coach suspended for 9 games (30% of season). During the suspension HC is prohibited from performing all coaching and recruiting activities, may not have contact with his staff, student-athletes and prospects. Two year show-cause, must attend NCAA regional rules seminar.
- Former Men's Golf Coach has a 5-year show cause.
- Former Administrative Assistant has a 5-year show cause.
- Former Compliance Director has a 2-year show cause.

Performance Enhancing Drugs

- Florida's starting quarterback is ineligible for one year, for testing positive for PEDs. Also loses one season of competition.
- Took an over the counter supplement without asking approval from any authority. That supplement included a performance enhancing substance.
- Student-athletes are responsible for everything in their bodies—these supplements are not regulated and often do not list all ingredients.
- Laura Anderson is CU's contact for nutritional supplements—reach out to her!

Questions??

GO BUFFS!